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PATENT  
Attorney Docket No.: 020897-000130US

TOWNSEND and TOWNSEND and CREW LLP

By: 

Jessie M. Kelly

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Carlos M. Collazo

Application No.: 10/040,012

Filed: October 26, 2001

For: AGGREGATE SYSTEM  
RESOURCE ANALYSIS INCLUDING  
CORRELATION MATRIX AND  
METRIC-BASED ANALYSIS

Confirmation No.: 8807

Examiner: Greg C. Bengzon

Art Unit: 2144

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed are copies of twp the Search/Examination reports corresponding to European application No's EP Application No. 02801619.4 and EP Application No. 02725914.2.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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60952862 v1

Substitute for form 1449A&B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/040,012
				Filing Date	October 26, 2001
				First Named Inventor	Collazo, Carlos M.
				Art Unit	2144
				Examiner Name	Greg C. Bengzon
Sheet	1	of	1	Attorney Docket Number	020897-000130US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5,819,028	10-06-1998	Manghirmalani, et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	2	EP	0 849 912	A2	08-04-1997	NOTHERN TELECOM LIMITED		<input type="checkbox"/>
	3	WO	01/25887	A1	04-12-2001	SONY ELECTRONICS, INC.		<input type="checkbox"/>
	4	EP	0 940 754	A1	09-08-1999	HEWLETT-PACKARD COMPANY		<input type="checkbox"/>
	5	WO	01/25887	A1	04-12-2001	SONY ELECTRONICS, INC.		<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	6	BUYIA, R. "PARMON: a portable and scalable monitoring system for clusters," Software-Practice and Experience, 2000, Vol. 30, pp 723-739.	<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.